

**PART III – LIST OF DOCUMENTS, EXHIBITS, AND OTHER ATTACHMENTS**  
**SECTION J – LIST OF ATTACHMENTS**  
**ATTACHMENT J-13 – LIST OF DELIVERABLES**

The table below summarizes the specific products the Contractor shall submit to the DOE, the type of action DOE will perform, and the date/timeframe that the Contractor shall submit the product. Durations stated in the table are in calendar days unless otherwise noted. Unless otherwise specified below, the due date for annual deliverables shall be mutually agreed upon during the transition period by DOE and the Contractor.

Deliverables are considered task order endpoints, work scope completions, products, reports or commitments that shall be delivered to DOE. The types of DOE action are defined as:

- **Approval** – The Contractor shall provide the deliverable to DOE for review and approval. Contractor is responsible for obtaining DOE approval. The initial deliverable shall be of sufficient quality, depth, thoroughness, and format to support DOE approval. DOE will review the deliverable and provide comments in writing. DOE comments will be discussed with the Contractor and the Contractor shall provide written responses. The Contractor shall re-write the documents to incorporate all DOE mandatory comments. Once DOE approves a deliverable or document, the Contractor shall place it under change control and shall make no changes to that document without further DOE approval. Unless otherwise specified, DOE will use its best efforts to review and/or approve deliverables within a reasonable timeframe consistent with the complexity and content of the deliverable.
- **Information** – The Contractor shall provide the deliverable to DOE for information purposes. DOE will have the option of reviewing the information and providing comments. Contractor shall respond to all written comments.

Summary of Contract Deliverables does not include all required deliverables identified in other applicable sections of the Contract, DOE directives, federal regulations, or regulatory documents. The Contractor shall be responsible for the compliance with all applicable standards, orders and regulations under the Contract.

<b>Item No.</b>	<b>Deliverable</b>	<b>Requirement</b>	<b>Deliverable Due Date</b>	<b>DOE Action: Approval or Information</b>
1.	Contractor Self-Assessment	B.9 DOE-H-2060	Within 21 calendar days after the end of each evaluation period	Information
2.	Transition Plan	C.0.4.1.1 Transition Plan	Within 14 calendar days after issuance of the NTP	Approval
3.	Status Report of Transition Activities	C.0.4.1.4 Status Reports-Transition Activities	Weekly	Information

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4.	Government-Owned Property joint reconciliation	C.0.4.1.5 Government-Owned Property	By the end of the Contract Transition Period	Information
5.	Statement of Material Differences. If Material Differences require revisions to the Contract, the Contractor shall submit a change proposal.	C.0.4.1.7 Identification of Material Differences	Material Differences Identification - Within 45 days of the Notice to Proceed  Change proposal to reconcile the material differences with the contract – By the end of the contract transition period (if necessary)	Approval
6.	Executive Summary	C.0.4.1.8 Stakeholder Engagement	Within 72 hours following NTP	Information
7.	Master Infrastructure List Update	C.1 Liquid Waste Operations	Annual	Information
8.	Quarterly Maintenance Updates	C.1 Liquid Waste Operations	Within 30 days after the end of the quarter	Information
9.	Salt Batch Preparation/Qualification Report	C.1.1.2 Tank Farm Operations, C.1.1.2.2 Salt Feed Preparation	Each Salt Batch	Information
10.	Sludge Batch Preparation/Qualification Report	C.1.1.2 Tank Farm Operations, C.1.1.2.3 Sludge Feed Preparation	Each Sludge Batch	Information
11.	Performance Assessment analysis as necessary to maintain compliance as required per DOE O 435.1 for the Saltstone to include each new SDU	C.2.1 SDU Construction	As needed to support Salt disposal	Approval
12.	SWPF Operations Transition Plan	C.2.3 SWPF Transition	Not less than 6 months prior to the transition of SWPF operations to the Liquid Waste Contractor	Approval

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13.	Regulatory documents identified in Section C, Attachment 4 – Regulatory Roadmap	C.2.4 Tank Closure; Section C, Attachment 4 Tank Specific Prestabilization Documents and Tank Specific Post Stabilization Interim Period up to Operable Unit Documents	For each tank closure	Approval
14.	Industrial Waste Water Closure Module with all supporting modules	C.2.4 Tank Closures; SCDHEC R.61-82 Proper Closeout of Wastewater Treatment Facilities	As needed for each tank	Approval
15.	Tier 2 Closure Plan with all supporting references	C.2.4 Tank Closures; DOE M 435.1-1	As needed for each tank	Approval
16.	Revisions to the Documented Safety Analysis (DSA) and Technical Safety Requirements (TSRs) for the CSTF and DWPF, including an associated implementation plan	C.2.5 DOE-STD-3009-2014 Safety Basis Compliance Development	Within four years of the start of the period of performance, and annually thereafter	Approval
17.	Gap Analysis for the SWPF DSA	C.2.5 DOE-STD-3009-2014 Safety Basis Compliance Development	Within two years after SWPF transition to the LW Contractor	Approval
18.	Revisions to the DSA and TSRs for SWPF, including an associated implementation plan	C.2.5 DOE-STD-3009-2014 Safety Basis Compliance Development	Within the base period of performance, and annually thereafter	Approval
19.	Tank 48 Analysis of Alternatives (If recovery proposed)	C.2.6.6 Tank 48 Recovery	At least 90 days prior to the initiation of recovery activities	Approval
20.	Glass Waste Storage Capability Analysis	C.2.7 Additional Glass Waste Storage Capability	By the end of year five of the contract	Approval

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21.	Input for Program/Project Execution Plan	C.3 Liquid Waste Program Support, DOE O 413.3B	Initial due the end of the Transition Period, and thereafter, updated as required	Approval
22.	Report of all Contractor planned procurements, spending and IT budgets	C.3 Liquid Waste Program Support, DOE O 200.1A	Annual	Information
23.	Report of all Contractor IT costs incurred	C.3 Liquid Waste Program Support, DOE O 200.1A	Monthly	Information
24.	Submit a single Integrated Safety Management System (ISMS) program description	C.3.1.1 Integrated Safety Management System, Section C.3.1.2 Safety Culture and Safety Conscious Work Environment, DEAR clause 970.5223-1	Initial due the end of the Transition Period, and thereafter, review annually and submit any proposed changes for approval	Approval
25.	Submit changes to the safety Performance Objectives, Measures and Commitments	C.3.1.1 Integrated Safety Management System, Section, DEAR clause 970.5223-1	Initial due the end of the Transition Period, and thereafter, review annually and submit any proposed changes for approval	Approval
26.	Safety Basis Documents (DSA and TSRs)	C.3.1 Management of S/RID Functional Area - Nuclear and Process Safety, 10 CFR Part 830	Annual updates including listing of any Unreviewed Safety Question Determinations	Approval
27.	Nuclear Criticality Safety Evaluations (NCSEs)	C.3.1 Management of S/RID Functional Area - Nuclear and Process Safety, DOE Order 420.1C	Preparation of NCSEs for new facilities or major modifications and triennial reviews of existing NCSEs	Information

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28.	Fire Hazards Analyses (FHAs)	C.3.1 Management of S/RID Functional Area – Fire Protection, DOE Order 420.1C	Preparation of FHAs for new facilities or major modifications and triennial reviews of existing FHAs	Information
29.	Emergency Planning Hazards Assessment (EPHAs)	C.3.1 Management of S/RID Functional Area – Emergency Management, DOE Order 151C	Preparation of EPHAs for new facilities or major modifications and triennial reviews of existing EPHAs	Approval
30.	Annual revision of the Liquid Waste System Plan	C.2.6 System Optimization and Section C.3 Liquid Waste Program Support	Annually by December 31st	Approval
31.	Life-cycle cost profile for each case identified in the annual Liquid Waste System Plan revision	C.3 Liquid Waste Program Support	Annually by December 31st	Approval
32.	All programs, plans and procedures required by the S/RIDs and applicable DOE Directives	C.3.1 Management of S/RID Functional Areas; Section J, Attachment J-4 List B, List of Applicable Directives	As Required	Approval
33.	Contract Close-Out Plan	C.3.2 Management and Administrative Services	At least 60 days prior to the end of the contract	Approval
34.	GFS&I Analysis	C.3.3 Government Furnished Services and Items	Annually at a minimum	Information
35.	GFS&I property, facilities and fleet Discrepancy report	C.0.4.1.5 Government-Owned Property and C.3.3 Government Furnished Services and Items	Annually and as required	Information
36.	Vouchers	G.5	Following completion of each voucher period	Approval

<b>Item No.</b>	<b>Deliverable</b>	<b>Requirement</b>	<b>Deliverable Due Date</b>	<b>DOE Action: Approval or Information</b>
37.	Contractor Employee Compensation Plan	H.5(a)	By the end of the Contract Transition Period	Approval
38.	Total Compensation System	H.5(b)	By the end of the Contract Transition Period, and annually thereafter	Approval
39.	Annual Contractor Salary-Wage Increase Expenditure Report	H.5(c)(1)	Annually	Information
40.	List of the Top Five Most Highly Compensated Executives	H.5(c)(2)	At the time of Notice to Proceed, and at the time of any subsequent change to their total cash compensation	Approval
41.	Annual Compensation and Benefits Report	H.5(c)(3)	Annually by March 15	Information
42.	Proposed Major Compensation Program Design Changes	H.5(d)(3)(A)(i)	As applicable	Approval
43.	Annual Compensation Increase Plan (CIP)	H.5(d)(3)(A)(iv)	Annually (if necessary)	Approval
44.	Individual Compensation Actions for the Top Contractor Official and Key Personnel not included in the CIP	H.5(d)(3)(A)(vi)	Annually	Information
45.	Employee Benefits Value Study	H.5(e)(3)(A)	Every two years	Approval
46.	Employee Benefits Cost Study Comparison	H.5(e)(3)(B)	Annually	Approval
47.	Corrective Action Plan if net Benefit Value exceeds comparator group by 5%	H.5(e)(4)	As required	Approval

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48.	Analysis and Corrective Action Plan if average total benefit per capita or total benefit costs as a percent of payroll exceed comparator group by 5% or more	H.5(e)(5)	As required	Approval
49.	ERISA section 103 full-scope audit results every third year and ERISA section 104 limited-scope audit results in the other years	H.5(g)(2)	Annually	Information
50.	Pension Management Plan	H.5(g)(6)	Annually by January 31	Approval
51.	Actuarial Valuation Reports	H.5(i)(1)	Annually by the due date for filing IRS Form 5500	Information
52.	Forms 5500 and Forms 5300	H.5(i)(2-3)	Annually, no later than submitted to the IRS	Information
53.	Proposed Changes to Pension Plans	H.5(j)(1)	At least 60 days prior to the adoption of any changes to a pension plan	Approval
54.	New benefit plans and changes to plan design or funding methodology	H.5(j)(2)	At least 60 days prior to the adoption of any changes to a pension plan	Approval
55.	Contractor Benefit Plans	H.6(a)	Within 30 days after transition period	Approval
56.	Annual Actuarial Evaluations, including corrective action plans as necessary	H.6(b)	Annually	Approval

<b>Item No.</b>	<b>Deliverable</b>	<b>Requirement</b>	<b>Deliverable Due Date</b>	<b>DOE Action: Approval or Information</b>
57.	Workforce Transition Plan (A) List of Contractor personnel responsible for transitioning employees; (B) Description of transition agreements with incumbent contractor; (C) Communications Plan on Hiring Preferences; and (D) Provide process as part of transition agreements for obtaining updated and continuous information through the Transition Period regarding the incumbent employees	H.7(a)(1)	Within 10 days after NTP	Information
58.	Workforce Transition Plan (continued) (A) Draft Workforce Transition Plan for the Contractor and its first and second tier subcontractors (B) Final written communication plan with the incumbent contractors regarding the implementation of the hiring preferences	H.7(a)(2)	Within 15 days after NTP	Information
59.	Final Workforce Transition Plan	H.7(a)(3)	Within 30 days after NTP	Approval
60.	Final Workforce Transition Agreements	H.7(a)(4)	Within 60 days after NTP	Information
61.	Reports on implementation of the hiring preferences	H.7(a)(5)	Weekly during the Transition Period, or less frequently if requested	Information



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62.	Draft Benefits Transition Plan	H.7(b)(1)	Within 10 days after NTP	Information
63.	Draft Benefits Transition Plan (continued) (i) List of contractor personnel responsible for transitioning pension and other benefits; (iii) Estimated costs and cost breakouts to accomplish workforce and benefits transition activities, including the costs for enrolled actuaries and counsel.	H.7(b)(1)(A)	Within 10 days after NTP	Information
64.	Draft Benefits Transition Plan (continued) A list of the information and documents that the Contractor has requested from SRR pertaining to the transition existing benefit plans.	H.7(b)(1)(B)	Within 15 days after NTP	Information
65.	Draft Benefits Plan (continued) (i) final draft Benefits Transition Plan (ii) detailed description of its plans and processes, including timeframes and specific projected dates for accomplishment of each activity (iv) minutes of the meeting as well as a written description of any substantive issues identified at the meeting	H.7(b)(1)(C)	(i) and (ii) Within 20 days after NTP (iv) Within 2 days after the meeting	Information

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66.	Final Benefits Transition Plan	H.7(b)(1)(D)	Within 30 days after NTP	Approval
67.	Drafts of the proposed defined benefit and defined contribution plans and other benefit plans, including drafts of any new benefit plan(s) as well as draft Summary Plan Documents (SPD) that the Contractor proposes to sponsor	H.7(b)(1)(E)	Within 30 days after NTP	Information
68.	Proposed final versions of defined benefit and defined contribution plans and other benefit plans	H.7(b)(1)(F)	Within 60 days after NTP	Approval
69.	Copies of executed transition agreements	H.7(b)(1)(G)	As requested	Information
70.	Economic Bargaining Parameters prior to Collective Bargaining	H.9(b)	Minimum of 3 weeks prior to the commencement of collective bargaining	Approval
71.	Arbitration Decisions	H.9(g)	Within a week of receipt of the decision	Information
72.	"Report of Settlement" after ratification of a collective bargaining agreement, or written reports as necessary	H.9(h)	Next open quarter for "Report of Settlement", or monthly or weekly written reports as required	Information
73.	Semi-annual report on grievances	H.9(i)	Semi-annually by June 30 and December 31	Information
74.	Workforce Restructuring information	H.10	As requested	Information

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75.	Standard Form 98 (e98)	H.11(c)	Upon initial solicitation, option or extension of the subcontract, or; if there is a significant change in the work scope	Approval
76.	DBA Semi-Annual Enforcement Report	H.11(e)	Semi-annually by April 21 and October 21	Information
77.	New worker's compensation policies and initial proposals for self-insurance	H.12(a)	As applicable	Approval
78.	Workers compensation settlement claims above the established threshold	H.12(c)	As applicable	Approval
79.	Evidence of insurance	H.13(b)	Prior to commencement of work under the contract	Information
80.	Insurance policies or insurance arrangements	H.15(a)(5)	Within 30 days after the purchase date	Information
81.	Contractor Business System Reviews, including but not limited to any necessary corrective action plans and other responses to audits	H.16, H.17, H.18, H.19, H.20, and H.21	As required	Approval
82.	Contractor business system descriptions	H.17, H.19, H.20, and H.21	Within 60 days of the NTP	Approval
83.	Advance written notice of NOVs/NOAVs	H.24	As applicable	Information
84.	Organizational Conflict of Interest Management Plan	H.34	Within 15 days after NTP	Approval
85.	Correspondence regarding assignment and transfer of subcontracts	H.36	As required	Information

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86.	Material Safety Data Sheets	H.37 FAR 52.223-3 29 CFR 1910	As required	Information
87.	Community Commitment Plan, and semi-annual progress reports	H.38	Annually (plan)  Semi-annually (progress reports)	Information
88.	Diversity Plan	H.39	Within 60 days after NTP	Approval
89.	Annual Diversity Report	H.39	Annually	Information
90.	Contractor Releases of Information	H.40	Within 10 days prior to the planned issue date	Approval
91.	Individual Subcontracting Plan and any changes to the Master Subcontracting Plan	H.41	Prior to the beginning of each Government fiscal year	Approval
92.	Worker Safety and Health Plan	H.43 10 CFR 851	Within 30 days after NTP	Approval
93.	Annual Indirect Billing Rate Submissions	H.45 FAR 52.216-7, as modified by DEAR 952.216-7	As required	Approval
94.	Parent Organization Support Plan (if applicable)	H.62(c)	At least 60 days prior to the end of the Contract Transition Period; or 60 days prior to the commencement date of parent organization support proposed by the contractor or required by the Government. Any subsequent POSP shall be submitted at least 90 days prior to the start of each contract year of performance.	Approval

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95.	Quality Assurance Program	H.63 EM-QA-001 10 CFR 830 DOE O 414.1D, C.3.1 Management of S/RID Functional Areas	Within 30 days after NTP, and annually thereafter	Approval
96.	Permit Applications and Regulatory Materials	H.64(c), C.3.1 Management of S/RID Functional Areas	Draft documents not later than 90 days prior to the date for submittal to the regulatory agency, and final documents not later than 30 days prior to the date for submittal to the regulatory agency	Approval
97.	Project Controls System Description	H.67.C, C.3 Liquid Waste Program Support	By the end of the Contract Transition Period	Approval
98.	Initial Contract Performance Baseline	H.67.D.1, C.04 Liquid Waste Program Support	Within the Contract Transition Period	Approval
99.	Final Contract Performance Baseline	H.67.D.2, C.3 Liquid Waste Program Support	Within 180 days after the end of the Contract Transition Period, and updated as necessary thereafter	Approval
100.	Contract Performance Baseline Change Proposal	H.67.D.4, C.3 Liquid Waste Program Support	Within 60 days of the issuance of a written change order by the Contracting Officer	Approval
101.	Monthly Performance Report	H.67.D.6, C.3 Liquid Waste Program Support	Monthly (not later than the eighth business day prior to the end of each calendar month)	Information

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102.	OMB Standard Form LLL, Disclosure of Lobbying Activities	FAR 52.203-12	Within 30 days of the end of the calendar quarter in which a change occurs	Information
103.	Code of Business Ethics and Conduct	FAR 52.203-13	Within 30 days of NTP	Information
104.	Reporting Executive Compensation and First-Tier Subcontract Awards	FAR 52.204-10	Within 30 days of NTP and annually thereafter	Information
105.	Update of Publicly Available Information Regarding Responsibility Matters	FAR 52.204-19	Semi-annually	Information
106.	Affirmative Action Plan for Females & Minorities	FAR 52.222-26 FAR 52.222-36	Within 30 days of NTP and updated annually by September 30	Approval
107.	Equal Employment Report (EEO-1)	FAR 52.222-26	Annually by September 30	Information
108.	Affirmative Action Plan for Veterans and Workers with Disabilities	FAR 52.222-35 FAR 52.222-36	Within 30 days of NTP, and updated annually by September 30	Information
109.	Federal Contractor Veterans' Employment Report (VETS-100A Report)	FAR 52.222-37	Annually by September 30	Information
110.	Annual Reports on the Product Types and Dollar Value of Any USDA-Designated Biobased Products Purchased by the Contractor During the Previous Fiscal Year	FAR 52.223-2	Annually by October 31	Information
111.	Workplace Substance Abuse Program	FAR 52.223-6 DOE O 350.1 10 CFR 707	Within 30 days of NTP, and updated annually thereafter or when significant changes occur	Approval

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112.	Workplace Substance Abuse Plan Reports	FAR 52.223-6 DOE O 350.1 10 CFR 707	Semi-annually	Information
113.	Employee Assistance Program Implementation Plan	DOE O 350.1	Within 60 days of NTP	Approval
114.	Bankruptcy Notification	FAR 52.242-13	As applicable within 5 days of the initiation of the proceeding relating to the filing	Information
115.	Consent to Subcontract	FAR 52.244-2	As required	Approval
116.	Reports of results for periodic physical inventories of property	FAR 52.245-1(f)(1)(iv)	Annually by September 30	Information
117.	Input automated data into the Property Inventory Database System	FAR 52.245-1(f)(1)(iv)	Annually by September 30	Information
118.	Reports of loss, damage, destruction, or theft of property	FAR 52.245-1(f)(1)(vi)	As soon as facts become known	Information
119.	Plans and procedures for property management business system	FAR 52.245-1(f)	Within 60 days of NTP	Approval
120.	Final property inventory for physically completed or terminated contracts	FAR 52.245-1(f)(1)(iv)	60 days prior to contract completion or upon notice of termination	Information
121.	Annual Report of Sales and Exchange Transactions	41 CFR 102-39.85	Annually within 90 days after the close of each fiscal year	Information
122.	Property Furnished to Non Federal Activities	41 CFR 102-36.295	Annually	Information
123.	Motor Vehicle Fleet Reports	41 CFR 102-34.345 41 CFR 109-38.9	Annually	Information
124.	Employee Concerns Program	DOE O 442.1A	Within 30 days of NTP	Approval

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125.	Legal Management Plan	DEAR 952.231-71 10 CFR 719	Within 60 days of NTP	Approval
126.	Annual Legal Budget and the Annual Report comparing the Contractor's budgeted and actual legal costs	10 CFR 719	The first annual legal budget shall be submitted with the Legal Management plan within 60 days of the NTP. Subsequent annual legal plans shall be submitted within 30 days of the conclusion of the period covered by each subsequent annual legal budget.	Approval
127.	Contractor Assurance System description	DOE O 226.1	Within 60 days of NTP	Approval
128.	Occurrence Reporting Processing System (ORPS) Reports	DOE O 232.2	As required	Information
129.	Risk Management Plan	DOE G 413.3-7A Chg 1; DOE O 413.3B	Six (6) months after contract award and shall be updated at least annually to align with the Liquid Waste System Plan and the CPB update	Approval